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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	SWANSON1
	First Inventor	Phillip A. Swanson
	Title	Inline Water Filter
	Express Mail Label No.	ER 062232985 US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 28]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s) (10 pages)
 - Abstract of the Disclosure (1 page)
 - Cover Sheet (1 page)
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Sheets _____]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
- Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney *(when there is an assignee)*
- ☐ English Translation Document *(if applicable)*
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Letter re informal drawings

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information:

Examiner _____

Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: _____

OR ☐ Correspondence address below

Name

23587

Address

PATENT TRADEMARK OFFICE

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

John C. Shepard

Registration No. (Attorney/Agent)

28,345

Signature

John C. Shepard

Date

3/15/2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

20427 U.S. PTO
031504

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 403.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Phillip A. Swanson
Examiner Name	
Art Unit	
Attorney Docket No.	SWANSON1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
1001 770	2001 385	Utility filing fee	385		
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			
SUBTOTAL (1)			(\$)	385	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	22	-20** =	2	X	9	=	18
Independent Claims	3	-3** =	3	X	0	=	0
Multiple Dependent				X		=	

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES							
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid				
1051 130	2051 65	Surcharge - late filing fee or oath					
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet					
1053 130	1053 130	Non-English specification					
1812 2,520	1812 2,520	For filing a request for ex parte reexamination					
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action					
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action					
1251 110	2251 55	Extension for reply within first month					
1252 420	2252 210	Extension for reply within second month					
1253 950	2253 475	Extension for reply within third month					
1254 1,480	2254 740	Extension for reply within fourth month					
1255 2,010	2255 1,005	Extension for reply within fifth month					
1401 330	2401 165	Notice of Appeal					
1402 330	2402 165	Filing a brief in support of an appeal					
1403 290	2403 145	Request for oral hearing					
1451 1,510	1451 1,510	Petition to institute a public use proceeding					
1452 110	2452 55	Petition to revive - unavoidable					
1453 1,330	2453 665	Petition to revive - unintentional					
1501 1,330	2501 665	Utility issue fee (or reissue)					
1502 480	2502 240	Design issue fee					
1503 640	2503 320	Plant issue fee					
1460 130	1460 130	Petitions to the Commissioner					
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)					
1806 180	1806 180	Submission of Information Disclosure Stmt					
8021 40	8021 40	Recording each patent assignment per property (times number of properties)					
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))					
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))					
1801 770	2801 385	Request for Continued Examination (RCE)					
1802 900	1802 900	Request for expedited examination of a design application					
Other fee (specify)							
*Reduced by Basic Filing Fee Paid							
SUBTOTAL (3)			(\$)				

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	John C. Shepard	Registration No. (Attorney/Agent)	28345
Signature		Telephone	(847) 965-8660
		Date	3/15/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Phillip A. Swanson
"Inline Water Filter"

LETTER

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The attached application is being filed with informal drawings. It is requested that the application be accepted and a serial number and filing date be given. The formal drawings will be submitted upon receipt of form PTO-1094.

Respectfully submitted,

Date:

3/15/2004

John C. Shepard
John C. Shepard
Reg. No. 28,345
Attorney for Applicant

575 Sunset Road
Winnetka, Illinois 60093
(847) 965-8660